

<b>Frequently Asked About Fees</b>		as of 6/15/2020
<u>FCC Fee Type</u>	<u>Fee Description</u>	<u>Fee Amount</u>
<b>Annual Account Fees</b>		
IRA Annual Account Fee		\$50
Investment Account Annual Fee		\$65
Uniform Gift to Minors Account Fee		\$45
Advantage AMA Account		\$125
Advantage Check - Stop Payment		\$15
Advantage Account Checks - Insufficient Funds		\$20
DRS Request Reject- FA charge		\$75
Wire Transfers- domestic/international		\$25
Trade Extensions - FA charge		\$5
Returned Deposit Checks		\$10
	<b>Ticket charges vary by transaction and/or security type.</b>	
No-load Mutual Fund Trades		\$25 Special Commission
Alternative Investments	The Alternative Investment Fee of \$100 is an annual fee.	\$100 per position annually (\$600 max)
Prepay Margin Fees - Trades	In the event an unsettled sale proceeds must be withdrawn prior to settlement date, the account will be subject to a trade prepayment. This charge is the equivalent of one calendar day's worth of margin interest for each day until settlement date.	\$10 or margin interest (whichever is greater)
Outgoing Account Transfers	Any completed transfer of an account to another financial institution (ACAT/Non-ACAT) that causes the account to be terminated is assessed this outgoing fee. Partial transfers of securities to another firm will not be assessed this fee.	\$95
<b>SEI Fee Type</b>		
Account Termination Fee		\$75